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Authors: Shyun-Yeu Liu • Mei-Huei Lin • Yu-Rung Hsu • Ya-Yun Shih • Wei-Fan Chiang • Chin-Hai Lee • Da-Shiung Chou • Young-Chau Liu

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Keywords (separated by '-') Arecoline - Areca nut - Apoptosis - Autophagy - Mammalian target of rapamycin (mTOR)

Footnote Information Shyun-Yeu Liu, Mei-Huei Lin, Yu-Rung Hsu and Ya-Yun Shih contributed equally to this work.  
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(mTOR)-Ser<sup>2448</sup>. In conclusion, this study demonstrates 31  
that different AN ingredients exerting differential impact 32  
on mTOR-Ser<sup>2448</sup> phosphorylation are capable of trigger- 33  
ing apoptosis and autophagy. 34  
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contributed equally to this work.

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**Keywords** Arecoline · Areca nut · Apoptosis ·  
Autophagy · Mammalian target of rapamycin (mTOR) 36  
37  
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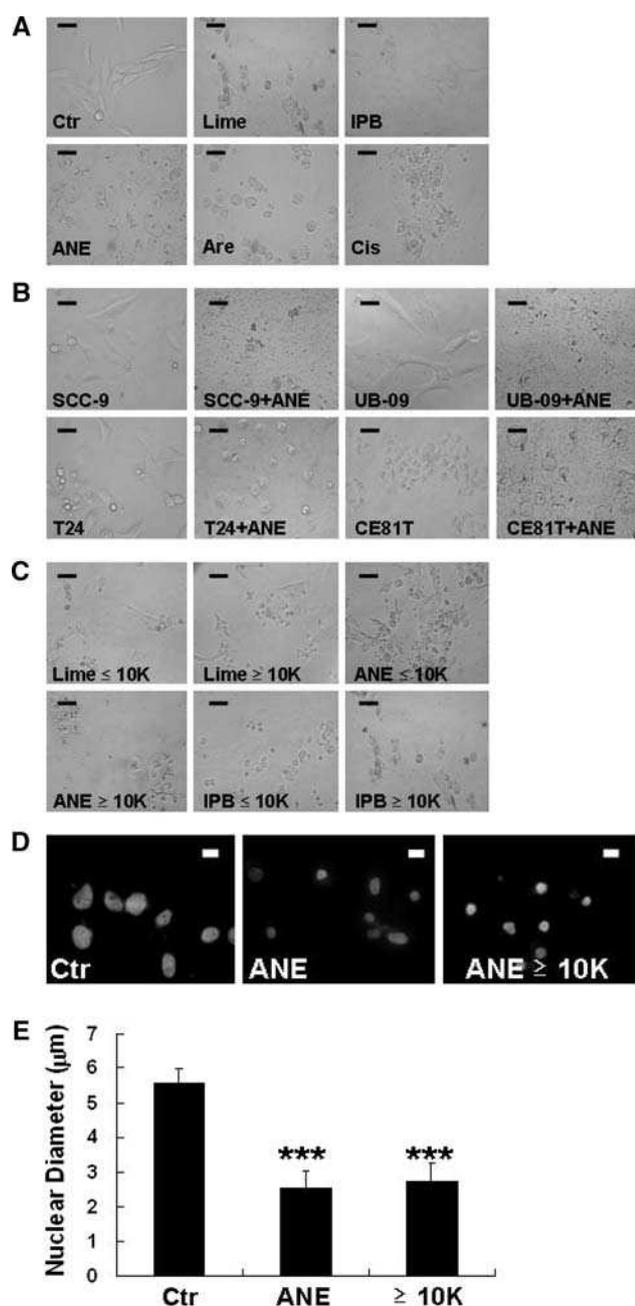
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|----|--|-----|
| 39 | <b>Introduction</b>  |     |
| 40 | Betel quid (BQ) chewing may represent one of the most                        |     |
| 41 | popular oral habits with about 600 million users worldwide,                  |     |
| 42 | and is thought to cause oral leukoplakia, oral submucous                     |     |
| 43 | fibrosis, and oral cancer [1]. In Taiwan, BQ is usually com-                 |     |
| 44 | posed of areca nut (AN, <i>Areca catechu</i> L.) and lime, with or           |     |
| 45 | without a piece of inflorescence of <i>Piper betle</i> (IPB) or <i>Piper</i> |     |
| 46 | <i>betle</i> leaf. Around 10% of the Taiwanese population (2–                |     |
| 47 | 2.8 million people) has this oral habit. Clinical observations               |     |
| 48 | support that habitual BQ chewing raises the risk of oral [1],                |     |
| 49 | pharynx [2, 3], esophagus [4, 5], liver [6, 7], and stomach [8]              |     |
| 50 | cancers. Therefore, mechanisms implicated in the cytotoxic                   |     |
| 51 | impact of AN ingredients on cells are worthy of study.                       |     |
| 52 | Some ingredients of AN and IPB, such as arecoline                            |     |
| 53 | (Are) and hydroxychavicol, have been shown to induce                         |     |
| 54 | growth arrest, necrosis, and apoptosis [9–11]. To our                        |     |
| 55 | knowledge, there is no evidence so far demonstrating the                     |     |
| 56 | existence of an autophagy-inducing activity in AN                            |     |
| 57 | constituents. Autophagy is a regulated self-cannibalism,                     |     |
| 58 | classified as type II programmed cell death, and is preceded                 |     |
| 59 | by the inhibition of the mammalian target of rapamycin                       |     |
| 60 | (mTOR) [12]. Numerous hallmarks used for demonstrating                       |     |
| 61 | autophagy have been developed: for example, the cleavage                     |     |
| 62 | of the precursor form of microtubule-associated protein 1                    |     |
| 63 | light chain 3 (LC3-I) (18 kDa) to the active form LC3-II                     |     |
| 64 | (16 kDa) and the emergence of autophagic vacuoles (AVs)                      |     |
| 65 | and acidic vesicles [13].  |     |
| 66 | In our previous study, we found that some matrix                             |     |
| 67 | metalloproteinases are upregulated by arecoline (Are) and/or                 |     |
| 68 | extracts of lime, AN, and IPB [14]. Surprisingly, we have                    |     |
| 69 | recently noticed a unique death pattern induced by the AN                    |     |
| 70 | extract (ANE) in several types of carcinoma cells from                       |     |
| 71 | mouth (OECM-1 and SCC-9), bladder (UB-09 and T24), and                       |     |
| 72 | esophagus (CE81T/VGH) origins. These dying cells exhibit                     |     |
| 73 | rounding morphology with a shrunken nucleus and empty                        |     |
| 74 | cytoplasm. Using OECM-1 cells as the experimental model,                     |     |
| 75 | we partially isolated these activities. The rounding cell-                   |     |
| 76 | inducing activity in ANE was first traced to the $\geq 10$ kDa               |     |
| 77 | fraction (ANE $\geq 10$ K) and subsequently the 30–100 kDa                   |     |
| 78 | fraction (ANE 30–100 K). Only the cell shrinkage activity                    |     |
| 79 | without rounded morphology was induced by cisplatin, Are,                    |     |
| 80 | and extracts of lime and IPB. Thus, Are may be a potential                   |     |
| 81 | apoptosis inducer whereas the ANE, ANE $\geq 10$ K, and                      |     |
| 82 | ANE $\geq 30$ –100 K fractions may induce an unknown death                   |     |
| 83 | pathway in OECM-1 cells (data are presented in this study).                  |     |
| 84 | We have further tried to verify the different death                          |     |
| 85 | pathways mediated by these reagents by analyzing mor-                        |     |
| 86 | phological change, activation status of caspase-3, cleavage                  |     |
| 87 | of LC3-I, and emergence of AVs and acidic vesicles in                        |     |
| 88 | OECM-1 cells. Finally, regulation of the phosphorylation                     |     |
| 89 | status of mTOR-Ser <sup>2448</sup> by Are and ANE 30–100 K was               |     |
| 90 | also examined.   |     |
|    | <b>Materials and methods</b>   | 91  |
|    | Preparation of ANE, ANE $\geq 10$ K, lime, and IPB                           | 92  |
|    | extract  | 93  |
|    | The preparation of ANE was different from other                              | 94  |
|    | researchers and was similar to that of BQ extract in our                     | 95  |
|    | previous study [14]. Briefly, ANE was prepared by grind-                     | 96  |
|    | ing tender AN (3.6–4.0 g/nut) at RT, and the squeezed                        | 97  |
|    | juice was centrifuged at $12,000 \times g$ for 10 min. The                   | 98  |
|    | supernatant was then regarded as the ANE. ANE was                            | 99  |
|    | further centrifuged at $2,900 \times g$ for 30 min at 4°C with 10,           | 100 |
|    | 30, or 100 kDa-pored membranous concentration tubes.                         | 101 |
|    | Thus, ANE and fractions of ANE $\leq 10$ K, ANE $\geq 10$ K,                 | 102 |
|    | and ANE 30–100 K used in this study were obtained. They                      | 103 |
|    | were lyophilized, weighed and re-dissolved in H <sub>2</sub> O.              | 104 |
|    | The lime and IPB were separated from a commercial                            | 105 |
|    | BQ and ground in 1 ml H <sub>2</sub> O. Both extracts were then              | 106 |
|    | prepared by the same method as ANE.  | 107 |
|    | Culture and treatment of cells   | 108 |
|    | The carcinoma cell lines from mouth (OECM-1 and SCC-                         | 109 |
|    | 9), bladder (UB-09 and T24), and esophagus (CE81T/                           | 110 |
|    | VGH) origins were cultured in Dulbecco's modified                            | 111 |
|    | Eagle's medium (DMEM) (Gibco-BRL, Rockville, MD,                             | 112 |
|    | USA) as described earlier [14]. They were seeded into each                   | 113 |
|    | well of a 96-well plate ( $1 \times 10^4$ cells/well) for the obser-         | 114 |
|    | vation of morphological change, or into each well of a                       | 115 |
|    | slide chamber for the nuclear staining, immunofluorescent                    | 116 |
|    | analysis, and acridine orange staining, each observed in                     | 117 |
|    | triplicate. Alternatively, $6 \times 10^6$ cells were seeded onto a          | 118 |
|    | 10-cm plate for caspase-3 activity, Western blot, electron                   | 119 |
|    | transmission microscopy (TEM), and flow cytometrical                         | 120 |
|    | analysis and subjected to a 24-h treatment with each                         | 121 |
|    | reagent under serum-free conditions. When analyzing the                      | 122 |
|    | phosphorylation level of mTOR-Ser <sup>2448</sup> , cells were cul-          | 123 |
|    | tured in DMEM supplemented with 10% fetal bovine                             | 124 |
|    | serum (HyClone, South Logan, VT, USA).                                       | 125 |
|    | To determine the 50% inhibitory concentration (IC <sub>50</sub> ) of         | 126 |
|    | each reagent, cells treated with various concentrations of                   | 127 |
|    | each reagent were analyzed by XTT reagents (Roche                            | 128 |
|    | Molecular Biochemicals, Basel, Switzerland) as instructed                    | 129 |
|    | by the manufacturer.   | 130 |
|    | Measurement of nuclear diameter  | 131 |
|    | Treated samples on chamber slides were fixed with 3%                         | 132 |
|    | paraformaldehyde for 30 min, and treated with 0.1% Tri-                      | 133 |
|    | ton X-100 for 15 min. The slides were coated with 3%                         | 134 |
|    | BSA for 1 h and stained with 0.01% Hoechst 33258 for                         | 135 |
|    | 10 min. The stained cells were photographed under a                          | 136 |
|    | fluorescent microscope (OLYMPUS DP70), and the                               | 137 |

|     |   |  |     |
|-----|---|--|-----|
| 138 | diameters of 15 randomly chosen nuclei were measured                  | The secondary antibody was goat anti-mouse-IgG or goat                           | 184 |
| 139 | using a micrometer.   | anti-rabbit-IgG coupled with horseradish peroxidase (Sigma-                      | 185 |
|     |   | Aldrich) and 10,000-fold diluted.  | 186 |
| 140 | Measurement of caspase-3 activation status                            | Statistical analysis   | 187 |
| 141 | Treated OECM-1 cells were incubated with 100-fold diluted             | Two groups of data presented as mean $\pm$ sd were analyzed                      | 188 |
| 142 | anti-active caspase-3 antibody (Sigma-Aldrich, USA) for               | by Student's <i>t</i> -test. A value of $P < 0.05$ was regarded as               | 189 |
| 143 | 1.5 h, followed by further incubation with goat anti-rabbit-          | statistically significant.   | 190 |
| 144 | IgG antibody coupled with fluorescein isothiocyanate                  |  |     |
| 145 | (Sigma-Aldrich) for 40 min. Cells were then incubated with            |  |     |
| 146 | 0.1% propidium iodide for 10 min, and photographed under              |  |     |
| 147 | a fluorescent microscope (OLYMPUS DP70).                              |  |     |
| 148 | For the enzyme activity assay, treated OECM-1 cells                   | <b>Results</b>   | 191 |
| 149 | (as in the immunofluorescent assay) were lysed in lysis               | Characterization of the unique cytotoxic effect of ANE                           | 192 |
| 150 | buffer (0.5% NP-40, 50 mM Tris-HCl, pH 7.4). Lysate                   | Extracts of the three BQ components (lime, AN, and IPB),                         | 193 |
| 151 | proteins (100 $\mu$ g) from each treatment were subjected to          | Are, and cisplatin exhibited cytotoxic effects on OECM-1                         | 194 |
| 152 | caspase-3 activity assay with the caspase-3 Cellular Assay            | cells after 24 h of treatment. The average IC <sub>50</sub> of these             | 195 |
| 153 | Kit (Biomol International, PA, USA) as instructed by the              | reagents under serum-free conditions is listed in Table 1.                       | 196 |
| 154 | manufacturer.   | OECM-1 cells treated with the IC <sub>50</sub> of these reagents showed          | 197 |
|     |   | a shrunken phenotype, except that the ANE-treated cells                          | 198 |
| 155 | Observation of morphological change, AVs, and acidic                  | exhibited rounding membrane and condensed nucleus-like                           | 199 |
| 156 | vesicles  | spheres (Fig. 1a). The rounding cell-inducing activity of                        | 200 |
|     |   | ANE was also observed in other carcinoma cells, including                        | 201 |
| 157 | Treated samples were observed and photographed using                  | SCC-9, UB-09, T24, and CE81T/VGH (Fig. 1b). To address                           | 202 |
| 158 | light microscopy or TEM. For TEM analysis, samples were               | the molecular weight of this activity, the ANE was separated                     | 203 |
| 159 | fixed in 2.5% glutaraldehyde at 4°C for 1 h and soaked in 1%          | into two fractions by passing through a centrifugal concen-                      | 204 |
| 160 | OsO <sub>4</sub> for 20 min. After dehydration in an ethanol gradient | tration tube with 10 kDa pores. The results showed that this                     | 205 |
| 161 | [50% (10 min), 75% (10 min), 85% (10 min), and 95%                    | activity was in the ANE $\geq$ 10 K fraction (1,120 $\mu$ g/ml),                 | 206 |
| 162 | alcohol (2 $\times$ 10 min)], samples were treated with propylene     | whereas fractions of ANE $\leq$ 10 K (1,120 $\mu$ g/ml), lime $\geq$             | 207 |
| 163 | oxide (2 $\times$ 10 min), impregnated with a 1:1 mixture of          | 10 K (400 $\mu$ g/ml), lime $\leq$ 10 K (400 $\mu$ g/ml), IPB $\geq$ 10 K        | 208 |
| 164 | propylene oxide/EA (Epon 812:Araldite 502:DDSA:DMP-                   | (220 $\mu$ g/ml), and IPB $\leq$ 10 K (220 $\mu$ g/ml) only induced cell         | 209 |
| 165 | 30 = 1.34:0.93:2.60:0.14, Electron Microscopy Sciences,               | shrinkage (Fig. 1c).   | 210 |
| 166 | PA, USA), and embedded in 100% EA. Ultrathin sections                 | After treatment with the IC <sub>100</sub> of ANE and                            | 211 |
| 167 | were stained with uranyl acetate and lead citrate. Sections           | ANE $\geq$ 10 K for 24 h, most OECM-1 cells exhibited                            | 212 |
| 168 | were examined by TEM (JEOL JEM-1200EX) at 80 kV.                      | rounding morphology, and each of them contained a shrunken                       | 213 |
| 169 | Photographs were made with electron microscopy film 4489              | nucleus-like sphere (data not shown). We further subjected                       | 214 |
| 170 | Estar Thick Base (Kodak, NY, USA).                                    | these cells to Hoechst 33258 staining, and found that the                        | 215 |
| 171 | For the observation of acidic vesicles, treated samples               |  |     |
| 172 | were stained with acridine orange (1 $\mu$ g/ml) for 10 min and       |  |     |
| 173 | photographed under a fluorescent microscope, or flow                  |  |     |
| 174 | cytometrically analyzed by 525 nm (FL1) and 575 nm                    |  |     |
| 175 | (FL2) with FACSCalibur (BD Biosciences, NJ, USA).                     |  |     |
| 176 | Western blot analysis   | <b>Table 1</b> The average IC <sub>50</sub> of each reagent against OECM-1 under |     |
| 177 | Lysate proteins (60 $\mu$ g) from treated OECM-1 cells were           | serum-free conditions  |     |
| 178 | subjected to Western blot analysis following the protocols            |  |     |
| 179 | previously described [14]. Briefly, the first antibodies used         |  |     |
| 180 | against LC3 (MBL International Corporation, MA, USA),                 |  |     |
| 181 | mTOR, and phosphor-mTOR-Ser <sup>2448</sup> (Cell Signaling Tech-     |  |     |
| 182 | nology, Inc., MA, USA), as well as $\beta$ -actin proteins (Sigma-    |  |     |
| 183 | Aldrich) were 1,000- and 10,000-fold diluted, respectively.           |  |     |

**Table 1** The average IC<sub>50</sub> of each reagent against OECM-1 under serum-free conditions

| Reagent         | IC <sub>50</sub> (mean $\pm$ sd) |
|-----------------|----------------------------------|
| Lime extract    | 396 $\pm$ 56 $\mu$ g/ml          |
| IPB extract     | 226 $\pm$ 48 $\mu$ g/ml          |
| ANE             | 37 $\pm$ 13 $\mu$ g/ml           |
| ANE $\geq$ 10 K | 590 $\pm$ 459 $\mu$ g/ml         |
| ANE $\leq$ 10 K | 2412 $\pm$ 1342 $\mu$ g/ml       |
| ANE 30-100 K    | 15 $\pm$ 5 $\mu$ g/ml            |
| Are             | 205 $\pm$ 51 $\mu$ g/ml          |
| Cis             | 43 $\pm$ 5 $\mu$ M               |

IC<sub>50</sub>, 50% inhibitory concentration; ANE, areca nut extract; Are, arecoline; Cis, cisplatin



**Fig. 1** Unique death pattern induced by 24-h treatment of ANE and ANE  $\geq 10$  K. (a–c) Phase contrast images of control (Ctr) or treated cells; bar = 10  $\mu$ m. (a) OECM-1 cells versus IC<sub>50</sub> of lime, IPB, ANE, Are, or cisplatin (Cis). (b) ANE (20  $\mu$ g/ml) versus SCC-9, UB-09, T24, or CE81T/VGH (CE81T) cell lines. (c) OECM-1 cells versus lime  $\leq 10$  K (400  $\mu$ g/ml) or lime  $\geq 10$  K (400  $\mu$ g/ml), ANE  $\leq 10$  K (1120  $\mu$ g/ml) or ANE  $\geq 10$  K (1,120  $\mu$ g/ml), and IPB  $\leq 10$  K (220  $\mu$ g/ml) or IPB  $\geq 10$  K (220  $\mu$ g/ml) fractions. (d) Hoechst 33258 staining of OECM-1 cells versus IC<sub>100</sub> of ANE or ANE  $\geq 10$  K fraction; bar = 5  $\mu$ m. (e) Nuclear diameter of OECM-1 cells treated with ANE or ANE  $\geq 10$  K; bar: mean  $\pm$  sd of nuclear diameter from three or more experiments; \*\*\*  $P < 0.001$

whether it induces apoptosis in OECM-1 cells. Furthermore, 225  
it is not known whether this protease plays a role in ANE- and 226  
ANE  $\geq 10$  K-mediated signals. Thus, the induction of cas- 227  
pase-3 activation by Are, ANE, ANE  $\geq 10$  K, and cisplatin 228  
(used as the positive control) was analyzed. First, immuno- 229  
fluorescent analysis with anti-active caspase-3 antibody 230  
revealed that cells treated with the IC<sub>50</sub> of ANE, Are, and 231  
cisplatin for 24 h exhibited stronger staining than those of 232  
control and ANE  $\geq 10$  K (IC<sub>50</sub>)-treated cells (Fig. 2a). 233  
Secondly, protease activity assessed with a commercial kit 234  
also demonstrated a significant elevation of caspase-3 235  
activity in the lysates of ANE-, Are-, and cisplatin-treated 236  
cells compared to that of ANE  $\geq 10$  K-treated cells 237  
(Fig. 2b;  $P < 0.05$ , 0.01, and 0.05, respectively). 238

Induction of cellular autophagy by ANE, ANE  $\geq 10$  K, 239  
and ANE 30–100 K 240

To verify whether ANE  $\geq 10$  K induces autophagy, the 241  
ratio of LC3-II/LC3-I, a specific index of autophagy, was 242  
analyzed by Western blot. The results showed that the IC<sub>50</sub> 243  
of ANE (Fig. 3a) and ANE  $\geq 10$  K treatment significantly 244  
enhanced LC3-I cleavage in OECM-1 cells after 24 h 245  
(Fig. 4c, d;  $P < 0.05$  and 0.01, respectively). Moreover, 246  
ANE  $\geq 10$  K was further shown to dose-dependently 247  
increase the LC3-II/LC3-I ratio (Fig. 3a). 248

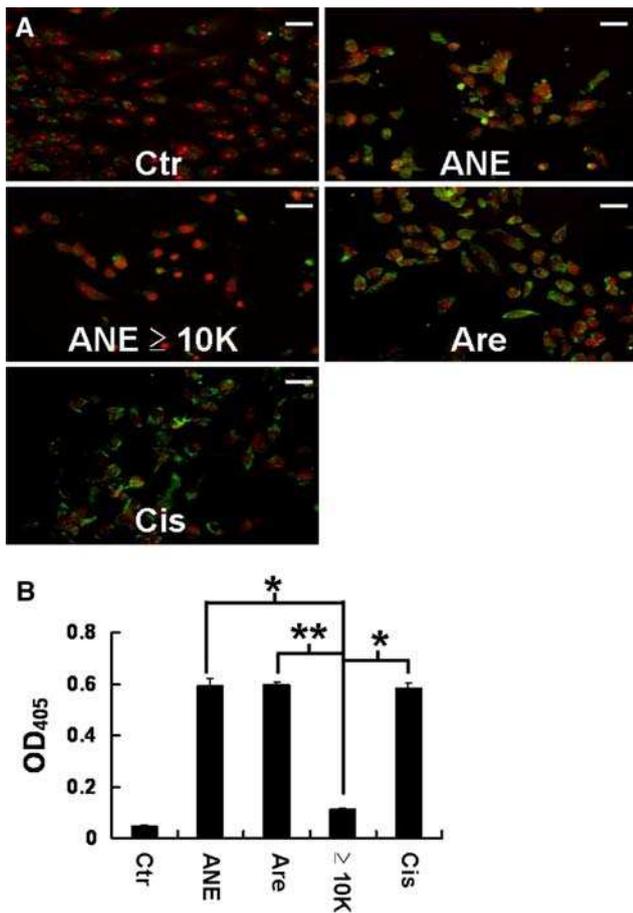
Further, OECM-1 cells were subjected to TEM analysis 249  
after 24-h treatment of ANE (IC<sub>50</sub>) and ANE  $\geq 10$  K (IC<sub>50</sub>). 250  
The results showed that numerous AVs appeared in ANE 251  
[Fig. 3b; ANE (1)]- and ANE  $\geq 10$  K [Fig. 3b;  $\geq 10$  K (1)]- 252  
treated cells, whereas the Are (IC<sub>50</sub>)-treated cells exhibited 253  
peri-nuclear chromatin condensation [Fig. 3b; Are (1)] and 254  
micronucleation [Fig. 3b; Are (2)]. Interestingly, in some 255  
ANE- and ANE  $\geq 10$  K-treated cells, the cytoplasmic sub- 256  
stances, including nucleus, were massively degraded before 257  
the disintegration of the cell membrane [Fig. 3b; ANE (2) 258  
and  $\geq 10$  K (2)]. 259

After treating OECM-1 cells for 24 h, the IC<sub>50</sub> of 260  
ANE  $\geq 10$  K is about 30-fold greater than ANE under serum- 261  
free conditions (Table 1), meaning that the autophagy- 262  
inducing component was not enriched in the ANE  $\geq 10$  kDa 263

216 suspected nucleus-like spheres were stainable by this dye  
217 (Fig. 1d). Compared to the non-treated control cells, the  
218 nuclei were significantly smaller in ANE- and ANE  $\geq$   
219 10 K-treated cells (Fig. 1e;  $P < 0.001$  in both cases,  
220  $n = 15$ ).

221 Induction of caspase-3 activation by ANE and Are

222 Caspase-3 is known to be one of the down-stream effector  
223 caspases of apoptosis [15]. Although Are has been shown to  
224 induce apoptosis in KB cells [10], it remains uncertain

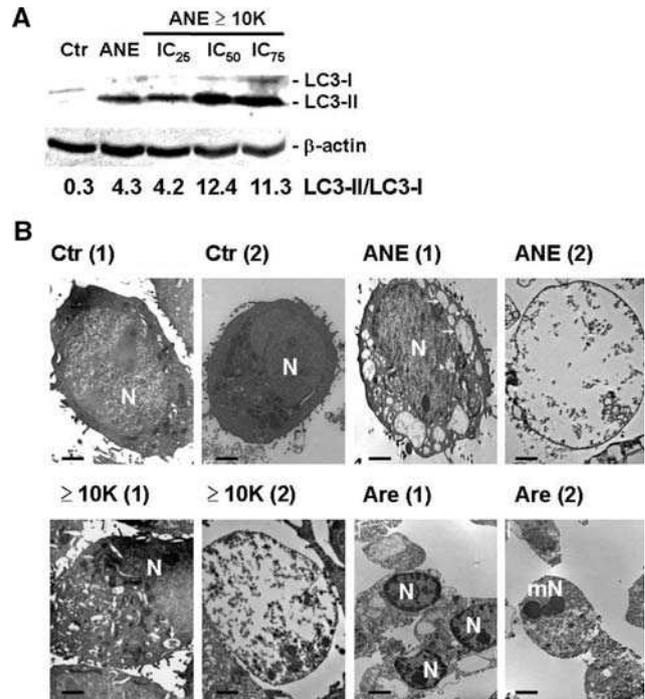


**Fig. 2** Activation of caspase-3 after 24-h treatment. (a) Anti-active caspase-3 immunofluorescent staining of OECM-1 cells versus control (Ctr) or versus IC<sub>50</sub> of ANE, ANE ≥ 10 K, Are, or cisplatin (Cis); bar = 20 μm. (b) Measured caspase-3 activity from protein lysates (100 μg) of cells treated as in (a); Bar: mean ± sd of OD<sub>405</sub> absorbance from three or more experiments; \* *P* < 0.05, \*\* *P* < 0.01

264 fraction. We further used concentration tubes with 30 and  
 265 100 kDa pores to separate ANE, and found that the rounding  
 266 cell-inducing activity was localized in the 30–100 kDa frac-  
 267 tion (ANE 30–100 K; Fig. 4a). The IC<sub>50</sub> of ANE 30–100 K  
 268 against OECM-1 cells was 15 ± 5 μg/ml (Table 1), about  
 269 2.5-fold lower than that of ANE. Thus, the effectiveness of  
 270 ANE ≥ 10 K in autophagy induction may be interfered with  
 271 by 10–30 kDa molecules in ANE. ANE 30–100 K (IC<sub>50</sub>) also  
 272 induced numerous AVs in OECM-1 cells (Fig. 4b), and sig-  
 273 nificant LC3-I cleavage (Fig. 4c, d; *P* < 0.05).

274 Induction of acidic vesicles by ANE, ANE ≥ 10 K,  
 275 and ANE 30–100 K

276 Acidic vesicles are also one the autophagy hallmarks. We  
 277 found that cells treated with the IC<sub>50</sub> of ANE, ANE ≥ 10 K,  
 278 and ANE 30–100 K for 24 h, as well as those cultured in  
 279 non-glucose medium (used as the positive control), exhibited

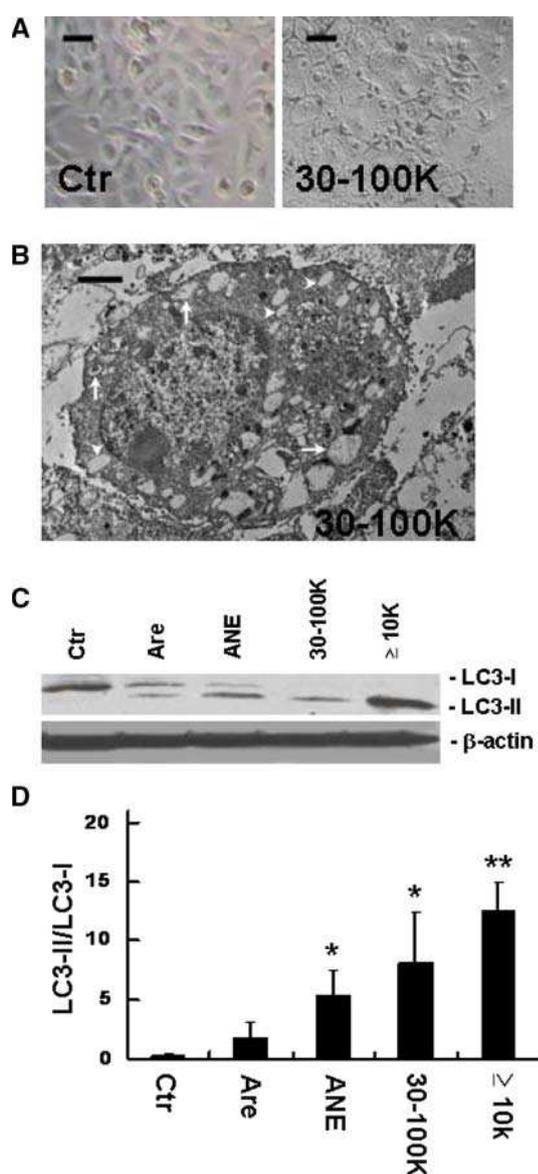


**Fig. 3** Induction of cellular autophagy after 24-h treatment. (a) Western blot of LC3 proteins from treated OECM-1 cells. The LC3-II/LC3-I ratio is listed under each treatment. (b) TEM micrographs of OECM-1 cells versus IC<sub>50</sub> of ANE, ANE ≥ 10 K, or Are. Ctr: control; N: nucleus; Arrows and arrowheads: AVs, including residual digested material and empty vacuoles, respectively; mN: micronucleated nucleus; bar = 2 μm

280 numerous acidic vesicles after acridine orange staining. In  
 281 contrast, the acidic vesicles were barely detected in non-  
 282 treated control and Are (IC<sub>50</sub>)-treated cells (Fig. 5a). The  
 283 percentages of acidic vesicle-containing cells were 1.0%,  
 284 88.0%, 90.2%, 88.9%, and 16.3% in control, glucose-  
 285 starved, ANE-, ANE 30–100 K-, and Are-treated cells as  
 286 analyzed by flow cytometry, respectively (Fig. 5b).

287 Differential regulation of the mTOR-Ser<sup>2448</sup>  
 288 phosphorylation by Are and ANE 30–100 K

289 The phosphorylation status of mTOR-Ser<sup>2448</sup> was recently  
 290 shown to be correlated with the extent of autophagy [16]. We  
 291 were thus interested to assess whether it is differentially reg-  
 292 ulated by Are and ANE 30–100 K. However, the  
 293 phosphorylated mTOR-Ser<sup>2448</sup> could only be detected when  
 294 OECM-1 cells were cultured with 10% serum (Fig. 6a, b, d;  
 295 lane 1). Under this conditions and to achieve 50% cytotox-  
 296 icity, the concentrations of Are, ANE, ANE 30–100 K,  
 297 ANE ≥ 10 K, and ANE ≤ 10 K were raised to 297, 200,  
 298 313, 1,760, and 2,000 μg/ml, respectively. Note that  
 299 ANE ≤ 10 K, up to 8 mg/ml, was not cytotoxic to OECM-1  
 300 in the presence of 10% serum. The results showed that the



**Fig. 4** Induction of cellular autophagy by ANE 30-100 K after 24-h treatment. (a) Phase contrast images of control (Ctr) and ANE 30-100 K ( $IC_{50}$ )-treated OECM-1 cells. bar = 10  $\mu$ m. (b) Electron micrograph of an ANE 30-100 K ( $IC_{50}$ )-treated OECM-1 cell. Arrows and arrowheads: AVs, including residual digested material and empty vacuoles, respectively. bar = 2  $\mu$ m. (c) Western blot of LC3 proteins from OECM-1 cells treated with  $IC_{50}$  of Are, ANE, ANE 30-100 K or ANE  $\geq$  10 K. (d) Average LC3-II/LC3-I ratios (mean  $\pm$  sd) from three experiments. \*  $P < 0.05$ , \*\*  $P < 0.01$

301 phosphorylation level of mTOR-Ser<sup>2448</sup> was significantly  
 302 inhibited after 24-h treatment with ANE 30-100 K (Fig. 6a, c;  
 303  $P < 0.05$ ). In contrast, Are treatment did not attenuate the  
 304 phosphorylation level at this site within 24 h (Fig. 6b, c).  
 305 ANE  $\geq$  10 K, but not Are, ANE and ANE  $\leq$  10 K, dec-  
 306 creased the phosphorylation level of mTOR-Ser<sup>2448</sup> to a similar  
 307 extent as that of ANE 30-100 K (0.09/0.16) (Fig. 6d).

## Discussion

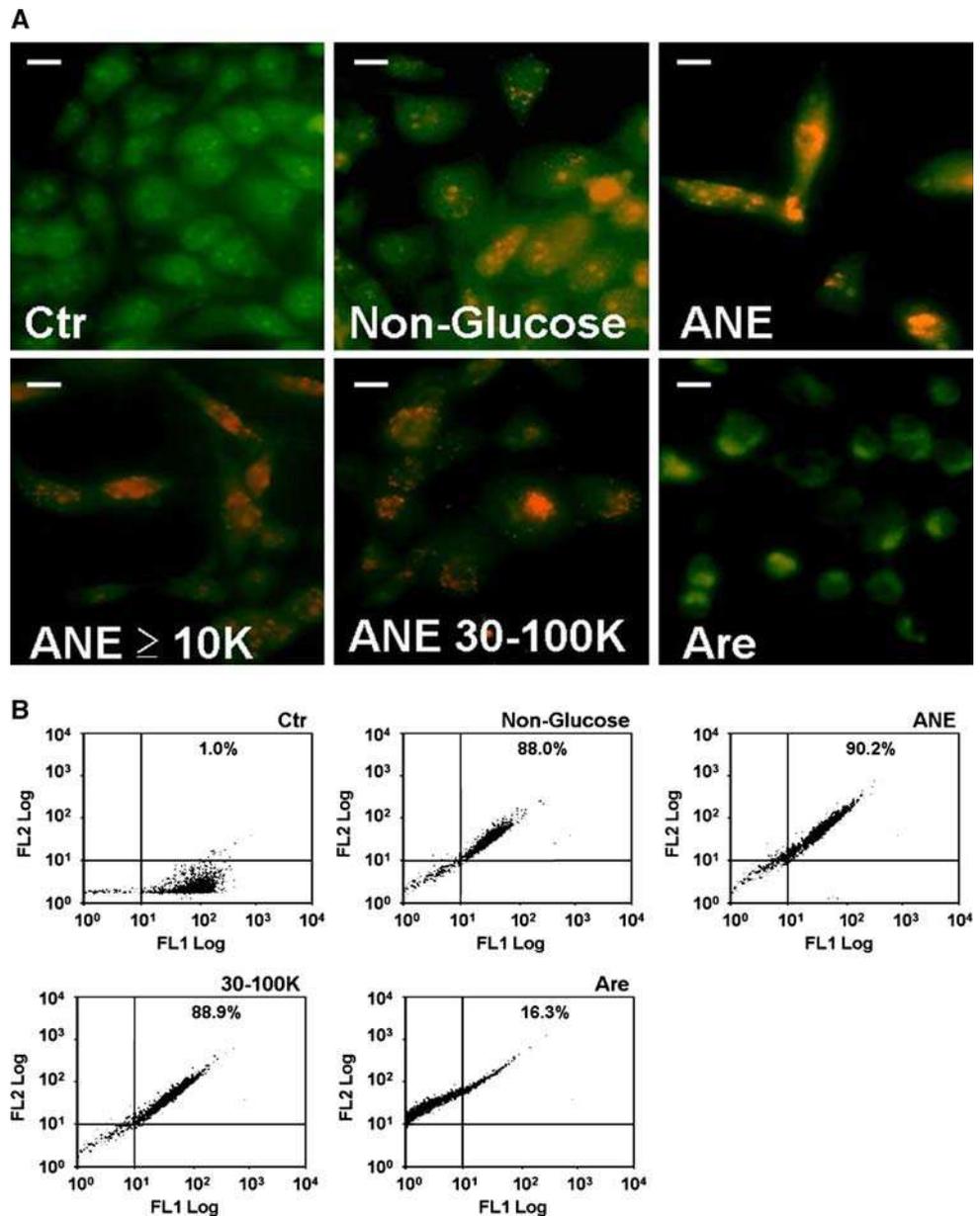
309 In addition to the autophagic markers presented above, the  
 310 typical double-layered structure of autophagosome was  
 311 occasionally observed after the 24-h ANE ( $IC_{50}$ ) treatment  
 312 (Supplement Figure). Taken together, we have demon-  
 313 strated that ANE, ANE  $\geq$  10 K, and ANE 30-100 K are  
 314 capable of triggering cellular autophagy. To our knowl-  
 315 edge, this study has provided the first evidences of an  
 316 autophagy-inducing AN ingredient (AIAI). In addition to  
 317 KB cells [10], Are was also shown to induce apoptosis in  
 318 OECM-1 cells (Figs. 2 and 3b) indicating the existence of  
 319 both apoptosis- and autophagy-inducing ingredients in AN.  
 320 However, when cells treated with ANE, they die in an  
 321 autophagy manner despite the activation of apoptosis pro-  
 322 gram like caspase-3 cleavage (Fig. 2). Thus, AIAI  
 323 may induce a dominant autophagic death in the presence of  
 324 apoptosis-inducing factors.

325 The shrunken nuclei in OECM-1 cells may represent the  
 326 unique autophagic death pattern induced by AIAI. It might  
 327 be thought that the degradation of nuclear contents is  
 328 strictly “off-limits”, given the importance of maintaining  
 329 the integrity of genes, but it has been reported that partial  
 330 degradation of the nucleus may occur in yeast [17]. In  
 331 *Saccharomyces cerevisiae*, a process called “piecemeal  
 332 microautophagy of the nucleus” (PMN) pinches off and  
 333 degrades nonessential portions of the nucleus. PMN is a  
 334 constitutive process induced to high levels by starvation or  
 335 rapamycin and occurs at nucleus-vacuole junctions [18]. It  
 336 might be reasonable to speculate that nuclear contents may  
 337 be degraded progressively, which reduces nuclear size in  
 338 the process of autophagic death. Clarke et al. observed that  
 339 during the autophagic death of isthmo-optic neurons in  
 340 chick embryos, nuclei of the dying neurons lost more than  
 341 half of their DNA content and became more electron dense  
 342 and shrank [19]. Here, we have illustrated that ANE and  
 343 ANE  $\geq$  10 K also induced significant nuclear shrinkage  
 344 (Fig. 1d, e). Taken together, it is suggested that the nucleus  
 345 may be shrunk before its disintegration in different modes  
 346 of autophagy.

347 It is speculated that the rounding morphology of SCC-9,  
 348 UB-09, T24, and CE81T/VGH cells induced by ANE treat-  
 349 ment reflects the initiation of an autophagic pathway in these  
 350 different cancer cells. We have recently observed the same  
 351 morphological change and the emergence of acidic vacuoles  
 352 in two normal human fibroblasts (WI-38 and MCR-5) after  
 353 ANE 30-100 K treatment (data not shown). Thus, AIAI may  
 354 have the potential to induce autophagy in both malignant and  
 355 normal cells.

356 Although various modes of autophagy conspire to degrade  
 357 virtually every compartment of the eukaryotic cell, the  
 358 plasma membrane and nucleus may be the most resistant  
 359 compartments during autophagic degradation. Szende et al.

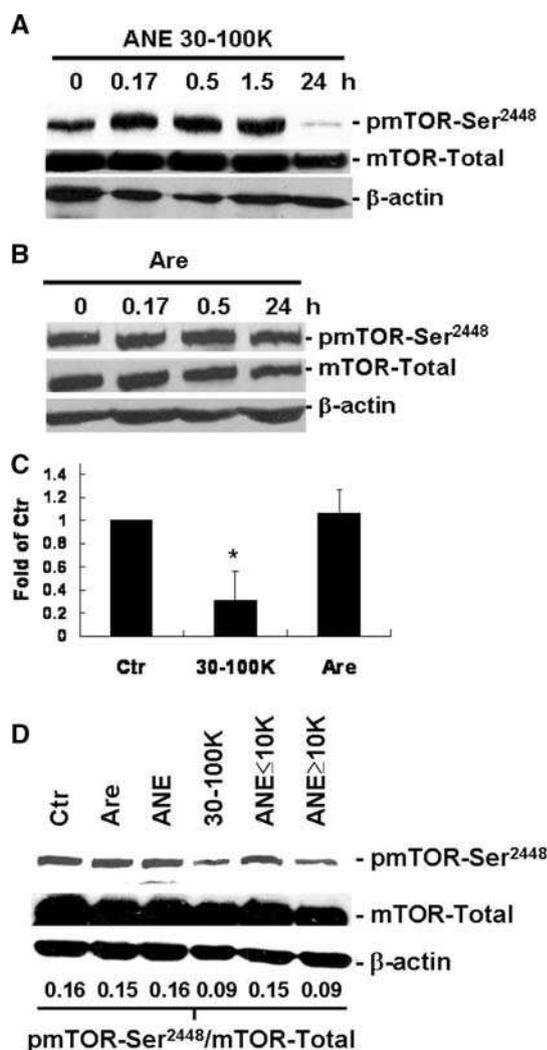
**Fig. 5** Induction of acidic vesicles after 24-h treatment. (a) Acridine orange staining of non-treated negative control (Ctr), glucose-starved positive control (Non-glucose), and IC<sub>50</sub> of ANE-, ANE ≥ 10 K-, ANE 30–100 K-, and Are- treated OECM-1 cells; bar = 5 μm. (b) Flow cytometry of the cell populations in (a)



360 observed that these two compartments were resistant to  
 361 L-leucine methyl ester-induced autophagic digestion in  
 362 mouse peritoneal macrophages [20]. Gómez-Santos et al.  
 363 also demonstrated that the plasma membrane and nucleus  
 364 maintained their integrity in the dopamine-induced autoph-  
 365 agy in human neuroblastoma cells [21]. In our study, the cell  
 366 membrane and nucleus also seemed to be the dominant  
 367 remnants in the AIAI-induced autophagy as revealed by light  
 368 microscopy (Figs. 1a–c and 4a). Furthermore, the degrada-  
 369 tion of the nucleus before the rupture of the plasma membrane  
 370 after ANE and ANE ≥ 10 K treatments [Fig. 3b, ANE (2)  
 371 and ≥ 10 K (2)] suggests that in the AIAI-induced autophagy,  
 372 the cell membrane may be the most stable compartment in  
 373 some OECM-1 cells.

Increase of caspase-3 activity may not necessarily result  
 in cellular apoptosis. Sadasivan et al. recently showed that  
 withdrawing amino acids from the culture medium of  
 PC-12 cells activated this protease but induced autophagic  
 cell death [22]. Similarly, we have also demonstrated that  
 although caspase-3 was evidently activated by ANE  
 (Fig. 2), meaning that the apoptotic signals might have  
 been turned on by the apoptosis-inducing ingredients, the  
 cells underwent autophagy. The AIAI (most likely in the  
 ANE 30–100 K fraction) may thus trigger the dominant  
 autophagic phenotype in the presence of apoptosis-induc-  
 ing molecules, like hydroxychavicol and Are.

Artificial inhibition of mTOR by rapamycin, for exam-  
 ple, often results in autophagy [23]. We have recently



**Fig. 6** Effects of Are and ANE 30–100 K on mTOR-Ser<sup>2448</sup> phosphorylation. Western blots of phosphorylated mTOR-Ser<sup>2448</sup> (pmTOR-Ser<sup>2448</sup>), total mTOR (mTOR-Total), and β-actin in OECM-1 cells treated with IC<sub>50</sub> of ANE-30–100 K (a) or Are (b) for the indicated periods under 10% serum supplement. (c) Average pmTOR-Ser<sup>2448</sup>/mTOR-Total ratios (means ± SD) from three experiments after treatment with IC<sub>50</sub> of ANE 30–100 K or Are for 24 h. (d) Proteins analyzed as in (a) in cells treated with Are (297 μg/ml), ANE (200 μg/ml), ANE 30–100 K (313 μg/ml), or ANE ≥ 10 K (1,760 μg/ml), as well as ANE ≤ 10 K (2,000 μg/ml). Ctr: control; \* *P* < 0.05

388 found that the IC<sub>50</sub> of 30–100 K against OECM-1 is sig-  
389 nificantly reduced to 8.07 ± 1.73 μg/ml (*P* < 0.05) in the  
390 presence of the mTOR specific inhibitor, rapamycin  
391 (10 nM) (data not shown). Therefore, together with the  
392 ability to inhibit mTOR-Ser<sup>2448</sup> phosphorylation, ANE  
393 30–100 K might probably induce autophagy in part  
394 through the inhibition of mTOR. On the other hand,  
395 although Are does not affect the phosphorylation level of  
396 mTOR-Ser<sup>2448</sup>, whether it interferes with other regulators  
397 along the mTOR pathway remains unknown.

398 With 10% serum supplement, ANE 30–100 K mildly  
399 increases the level of phosphorylated mTOR-Ser<sup>2448</sup>  
400 (Fig. 6a). Our unpublished data revealed that under serum-  
401 free conditions, the phosphorylated mTOR-Ser<sup>2448</sup> molecule  
402 is barely detectable and can be significantly induced by  
403 ANE 30–100 K within 1 h. Furthermore, short-term (<2 h)  
404 treatment of ANE (IC<sub>50</sub>) and ANE 30–100 K (IC<sub>50</sub>) stim-  
405 ulates ERK1/2 phosphorylation, and 24-h treatment of low  
406 concentrations (<IC<sub>10</sub>) of ANE and ANE 30–100 K exhibit  
407 a mild mitogenic effect on cultured cells. Thus, ANE and  
408 ANE 30–100 K, depending on their dosage and treating  
409 duration, may be endowed with a biphasic capacity to  
410 induce both cell growth and death. It might be possible that  
411 although ANE 30–100 K, due to its mitogenic effect, ini-  
412 tially upregulates the phosphorylation of mTOR-Ser<sup>2448</sup>, it  
413 interferes the responsible kinase/phosphatase system caus-  
414 ing the dephosphorylation of mTOR-Ser<sup>2448</sup> in the AIAI-  
415 induced autophagy program after prolonged treatment  
416 (24 h).

417 For an unknown reason, ANE treatment failed to inhibit the  
418 phosphorylation of mTOR-Ser<sup>2448</sup> (Fig. 6d), but still trig-  
419 gered autophagic cell death (Figs. 3 and 5). Although more  
420 studies are required, it is suggested that inhibition of mTOR-  
421 Ser<sup>2448</sup> phosphorylation by the AIAI molecules (30–100 kDa)  
422 might be interfered by the small molecules (≤10 kDa) of  
423 ANE. Still, the AIAI might trigger the autophagic pathway  
424 downstream of mTOR resulting in the autophagic cell death.

425 The ANE 30–100 K contains mainly carbohydrates as  
426 analyzed by the Molish's Test and Seliwanoff's Test, and  
427 trace amount of proteins (1.2 ± 0.2%). The exact per-  
428 centage of the containing carbohydrates has been difficult  
429 to be determined for the interference of OD<sub>405</sub> absorbance  
430 by the yellow-to-brown pigment (depending on its con-  
431 centration) (data not shown). Furthermore, the cytotoxicity  
432 of ANE 30–100 K is significantly attenuated after cellulase  
433 digestion (data not shown). Thus, the AIAI in this fraction  
434 is speculated to be polysaccharide(s).

435 In conclusion, the identification of apoptosis and  
436 autophagy induced by Are and ANE ≥ 10 K (and ANE  
437 30–100 K) respectively may serve as an ideal model to  
438 further delineate the difference and interaction between  
439 these two death pathways in our future studies.

440 **Acknowledgements** This work was supported in part by grants  
441 from the National Science Council (96-2314-B-041-001) and Chi Mei  
442 Medical Center (CMFHR 9715 and CLFHR 9703).

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